

ICHEIC CLAIMS PROCESS 2 WEEKS ENDING 19-Aug-05

CLAIMS/INQUIRIES RECEIVED	New claim numbers set up to record decisions under ICHEIC processing guidelines	7
	Total claims/inquiries received eligible under the ICHEIC claims process	88,531
	Claims/inquiries distributed to companies	
	Claims/inquiries which name a company (named)	32,172
	Claims/inquiries which do not name a company (unnamed)	56,359
	Claims/inquiries to be amended (a)	-

To date, ICHEIC has received 40,522 claims which are ineligible for the ICHEIC claims process (bank claims, slave labour claims, etc.) or are replica claims.

	offers	dollars (in millions)
	Offers on ICHEIC claims/inquiries which name a company (named)	4,488
Offers on ICHEIC claims/inquiries which originally did not name a company but where a company has found a match (unnamed)	2,444	\$30.16
Total offers on ICHEIC claims/inquiries	6,932	\$104.44
Offers made by companies on claims not submitted through ICHEIC, but using ICHEIC Valuation Guidelines	782	\$15.12
Total offers made using ICHEIC Valuation Guidelines	7,714	\$119.57
Offers made to claimants through the ICHEIC humanitarian claims process	16,224	\$16.22
Total offers resulting from ICHEIC claims processes (company review/humanitarian)	23,938	\$135.79

CLAIMS/INQUIRIES WHICH NAME A COMPANY

	# sent to companies	offers (b)	offers \$	declines	outstanding (c)
Generali Group (d)	6775	1855	\$40,528,920.95	2550	2370
Allianz Group	915	199	\$1,692,862	655	61
Allianz RAS	2884	844	\$10,450,509	1850	190
AXA Colonia	229	71	\$328,327	156	2
AXA France	197	20	\$225,372	47	130
Winterthur	54	6	\$51,866	45	3
Zurich	117	27	\$420,568	89	1
MOU Companies subtotal	11171				2757
Sent to companies operating under the GDV/German Foundation Agreement (h)	11515				9920
Decisions by non ICHEIC-Member German companies		463	\$8,876,932	940	
Decisions by the GDV on blocked account and related cases (i)		190	\$1,656,682		
GDV subtotal	11515				9920
Claims Resolution Tribunal (e)	47	1	\$1,327	6	40
Sjoo Foundation (f)	92	7	\$127,011	52	33
Buyse Commission (g)	76	0	\$0	1	75
General Settlement Fund (k)	2929	0	\$0	0	2929
Other Organisations subtotal	3144				3077
Extant non-MOU Companies		112	\$2,113,115	52	
Nationalised or defunct non-MOU companies (l)		693	\$7,810,326	188	
Non MOU	6342	805	\$9,923,441	240	5831
totals		4488	\$74,283,818	6,631	

CLAIMS/INQUIRIES WHICH DID NOT NAME A COMPANY, BUT HAVE BEEN MATCHED (j)

	unnamed claims where a match was found	offers (b)	offer \$	declines	REMAINING UNNAMED CLAIMS
Generali Group (d)	1977	1066	\$19,881,275	911	
Allianz Group	714	302	\$1,985,246	412	
Allianz RAS	137	121	\$1,617,852	16	
AXA Colonia	353	170	\$1,004,242	183	
AXA France	5	4	\$13,046	1	
Winterthur	27	18	\$138,321	9	
Zurich	21	13	\$310,342	8	
MOU-Companies subtotal	3234				
Sent to companies operating under the GDV/German Foundation Agreement (h)	974				
Decisions by non ICHEIC-Member German companies (i)		88	\$783,440	252	
Decisions by the GDV on blocked account and related cases		634	\$4,223,891		
GDV subtotal	974				
Claims Resolution Tribunal (e)	0	0	\$0	0	
Sjoo Foundation (f)	86	28	\$199,897	58	
Buyse Commission (g)	0	0	\$0	0	
General Settlement Fund (k)	0	0	\$0	0	
Other Organisations subtotal	86				
total		2,444	\$30,157,551	1,850	

OFFERS ON ICHEIC CLAIMS/INQUIRIES		2 WEEKS ENDING		19-Aug-05
				(in millions)
Offers paid		5,344		\$84.73
Total offers outstanding (not paid)		1,081		\$14.91
Offers outstanding which are eligible for appeals through the ICHEIC Appeals Office (*)		980		\$12.69
Offers made on claims submitted to national funds (**) and to companies not members of ICHEIC.		101		\$2.22

* The ICHEIC Appeals Office handles appeals made to the Appeals Panel and Appeals Tribunals, as defined in (1), (2), (3) and (6) below.

** Sjoa Foundation (Netherlands), Buysse Commission (Belgium) and the Claims Resolution Tribunal (Switzerland).

ICHEIC APPEALS REPORT

SUMMARY		2 WEEKS ENDING			19-Aug-05
		New Appeals	Appeals Panel (1)	Appeals Tribunal (2)	Total to Date
Appeals received		49	391	863	1254
Appeals received on company offers (4)			83	30	113
Value of company offers made which are being appealed			\$975,484.14	\$669,112.08	\$1,644,596.22
Company declines made					8124
Appeals received on company declines			308	833	1141
Appeals dismissed			231	207	438
Awards made (7)			34	148	182
Offer accepted or appeal withdrawn after an appeal form has been received (5)			39	17	56
Value of appeals awards			\$327,591.28	\$2,184,685.64	\$2,512,276.92
Appeals pending			87	491	578

APPEALS BY COMPANY HEARD BY THE APPEALS TRIBUNAL											19-Aug-05
	New Appeals This Period	Appeals on Offers	Value of Appealed Offers	Appeals Made on Declines	Offer Accepted or Appeal Withdrawn after Appeal Form Received	Appeals Pending	Appeals Dismissed	Awards Made	Value of Awards Made	Total Appeals	
Allianz Group	6	2	\$14,006.00	84		71	12	3	\$20,268.36	86	
Allianz RAS		11	\$110,145.96	276	7	12	142	126	\$1,941,152.34	287	
AXA France		2	\$4,696.00	8	2		6	2	\$8,942.07	10	
AXA Colonia		2	\$4,302.69	25	3		20	4	\$46,110.68	27	
AXA Belgium				2		1	1			2	
Generali (6)	32	11	\$531,301.58	400	1	406	3	1	\$36,000.00	411	
Winterthur		1	\$4,100.00	7		1	6	1	\$6,000.00	8	
Zurich		1	\$559.85	31	4		17	11	\$126,212.19	32	
Totals	38	30	\$669,112.08	833	17	491	207	148	\$2,184,685.64	863	

APPEALS BY COMPANY HEARD BY THE APPEALS PANEL											19-Aug-05
	New Appeals This Period	Appeals on Offers	Value of Appealed Offers	Appeals Made on Declines	Offer Accepted or Appeal Withdrawn after Appeal Form Received	Appeals Pending	Appeals Dismissed	Awards Made	Value of Awards Made	Total Appeals	
Allianz Group	4	30	\$208,216.45	69	19	24	55	1	\$37,299.68	99	
Allianz RAS	2	15	\$385,102.78	77	6	15	51	20	\$214,054.21	92	
AXA Colonia	1	5	\$25,844.64	14		4	14	1	\$4,000.00	19	
GDV (7)	2	15	\$107,458.52	6	7	6	8			21	
GDV (8)	2	18	\$248,861.75	138	7	38	99	12	\$72,237.39	156	
Generali (6)											
Winterthur				3			3			3	
Zurich				1			1			1	
Totals	11	83	\$975,484.14	308	39	87	231	34	\$327,591.28	391	

General Notes

ICHEIC statistics shift in relation to claims processing phenomena, including the following:

1. ICHEIC has occasionally revised its number of eligible claims downward due to the ongoing identification of claims that fall outside of ICHEIC's mission, e.g., non-Holocaust-era claims, claims which - despite efforts to obtain additional information from claimants - do not contain enough information to enable complete processing, as well as replica (duplicate) claims.
2. A number of new claims will continue to be registered as a result of ICHEIC matching exercises.
3. Claims occasionally move within the ICHEIC process due to ongoing efforts to ensure that claimants are given the best possible opportunity to have their claim(s) considered. Examples include claims originally assigned to companies or processing entities that were subsequently determined not to cover the particular portfolios or geographic regions upon which the claims were based. While some claims have been transferred to other companies participating in the ICHEIC process, others have been cycled into ICHEIC's 8A2 humanitarian claims process.

Notes on the Claims Section

- (a) These claims have been processed and require amendments to their stage and status designation in the claims database. This figure includes claims/inquiries on Eastern European nationalised companies which will be evaluated under the ICHEIC humanitarian process as well as claims recently transferred from the Austrian General Settlement Fund.
- (b) Awards on appeals are not included herein. These figures are reported separately.
- (c) Decisions on some of the claims included here cannot be made until the GDV verifies that there was no previous compensation paid.
- (d) Between September 2001 and November 2004 the Generali Trust Fund was the implementing organisation for claims received by ICHEIC which (1) named Generali or (2) stated that the policy was bought in a country where Generali operated. The GTF rendered 3188 claims inquiries which named Generali, 1103 of which resulted in offers totalling \$28,600,986. It also matched 1445 claims inquiries which did not name a specific company of which 764 offers were made totalling \$16,328,320.
- (e) The Claims Resolution Tribunal (CRT), located in Switzerland, is responsible for processing a number of Swiss claims. ICHEIC is currently conducting a reconciliation exercise with the CRT.
- (f) The Sjoa Foundation, located in the Netherlands, processes claims on behalf of Dutch insurers.
- (g) The Buysse Commission, located in Belgium, processes claims on behalf of Belgian insurers. ICHEIC's agreement with the Buysse Commission was signed in June of 2003.
- (h) Offers made by ICHEIC Member companies on claims listed as "sent" here are reflected above as offers by the ICHEIC Member companies which are covered under ICHEIC's agreement with the German Insurance Association (GDV)/German Foundation.
- (i) Blocked account cases are paid and processed directly by the German Insurance Association (GDV). A blocked account is defined as a bank account from which the owner was constrained in withdrawing the proceeds due to the legal restrictions on the account imposed by the German National Socialist Regime and other relevant governments.
- (j) Claims that have been matched by companies against their records and/or other sources.
- (k) The General Settlement Fund, located in Austria, processes claims on behalf of Austrian insurers. The GSF is not due to make any offers on claims until all claims have been researched and decided.
- (l) For these claims no successor company could be traced and they therefore have been submitted for examination of a possible award under ICHEIC's 8A2 humanitarian claims process.

Notes on the Appeals Section

- (1) The Appeals Panel considers appeals on decisions from German insurance companies and the German subsidiaries of non German companies as well as those against Allianz and Allianz RAS which were dated after 16 October 2002.
- (2) The Appeals Tribunal considers appeals on decisions from ICHEIC member companies AXA, Generali, Winterthur, and Zurich as well as those against Allianz and Allianz RAS which were dated prior to 16 October 2002.
- (3) Upon receipt of a final decision claimants have 120 days to file an appeal.
- (4) This excludes offers that claimants have accepted.
- (5) These totals include cases where, subsequent to submitting an appeal form, the appellant either accepts the original offer or withdraws the appeal. These totals are not included in the number of appeals dismissed nor in the number of awards made.
- (6) Between September 2001 and November 2004 appeals on Generali and GTF were considered by the Generali Trust Fund (GTF). As of 12th November 2004, the GTF has received 232 appeals. It made an award on 27 and 131 claims were dismissed. 26 Appeals were settled or withdrawn prior to the Appeals Committee hearing. Appeals received after 31st November 2004 will be heard by the ICHEIC Appeals Tribunal. The numbers in this section refer only to appeals heard by the Appeals Tribunal.
- (7) This refers to blocked account cases.
- (8) This refers to other non MOU companies in the GDV.