

**ICHEIC CLAIMS PROCESS** 2 WEEKS ENDING 16-Sep-05

|                                  |   |        |
|----------------------------------|---|--------|
| <b>CLAIMS/INQUIRIES RECEIVED</b> | New claim numbers set up to record decisions under ICHEIC processing guidelines | -      |
|                                  | Total claims/inquiries received eligible under the ICHEIC claims process        | 88,648 |
|                                  | Claims/inquiries distributed to companies                                       |        |
|                                  | Claims/inquiries which name a company (named)                                   | 32,043 |
|                                  | Claims/inquiries which do not name a company (unnamed)                          | 56,605 |
|                                  | Claims/inquiries to be amended (a)  | -      |

To date, ICHEIC has received 40,405 claims which are ineligible for the ICHEIC claims process (bank claims, slave labour claims, etc.) or are replica claims.

|   | offers        | dollars (in millions) |
|---|---------------|-----------------------|
| Offers on ICHEIC claims/inquiries which name a company (named)  | 4,542         | \$74.95               |
| Offers on ICHEIC claims/inquiries which originally did not name a company but where a company has found a match (unnamed) | 2,607         | \$31.50               |
| <b>Total offers on ICHEIC claims/inquiries</b>  | <b>7,149</b>  | <b>\$106.45</b>       |
| Offers made by companies on claims not submitted through ICHEIC, but using ICHEIC Valuation Guidelines                    | 783           | \$15.13               |
| <b>Total offers made using ICHEIC Valuation Guidelines</b>  | <b>7,932</b>  | <b>\$121.58</b>       |
| Offers made to claimants through the ICHEIC humanitarian claims process   | 26,683        | \$26.68               |
| <b>Total offers resulting from ICHEIC claims processes (company review/humanitarian)</b>                                  | <b>34,615</b> | <b>\$148.26</b>       |

**CLAIMS/INQUIRIES WHICH NAME A COMPANY**

|   | # sent to companies | offers (b)  | offers \$           | declines     | outstanding (c) |
|---|---------------------|-------------|---------------------|--------------|-----------------|
| Generali Group (d)  | 6777                | 1870        | \$40,712,425.03     | 2589         | 2318            |
| Allianz Group   | 941                 | 215         | \$1,988,007         | 682          | 44              |
| Allianz RAS   | 2890                | 847         | \$10,476,817        | 1855         | 188             |
| AXA Colonia   | 231                 | 71          | \$328,327           | 157          | 3               |
| AXA France  | 197                 | 21          | \$264,404           | 49           | 127             |
| Winterthur  | 55                  | 6           | \$51,866            | 46           | 3               |
| Zurich  | 116                 | 27          | \$420,568           | 88           | 1               |
| MOU Companies subtotal  | 11207               |             |                     |              | 2684            |
| Sent to companies operating under the GDV/German Foundation Agreement (h) | 11341               |             |                     |              | 9721            |
| Decisions by non ICHEIC-Member German companies                           |                     | 480         | \$8,999,281         | 947          |                 |
| Decisions by the GDV on blocked account and related cases (i)             |                     | 192         | \$1,661,128         |              |                 |
| GDV subtotal  | 11341               |             |                     |              | 9721            |
| Claims Resolution Tribunal (e)  | 59                  | 1           | \$1,327             | 7            | 51              |
| Sjoa Foundation (f)   | 92                  | 7           | \$127,011           | 54           | 31              |
| Buyse Commission (g)  | 76                  | 0           | \$0                 | 1            | 75              |
| General Settlement Fund (k)   | 2927                | 0           | \$0                 | 0            | 2927            |
| Other Organisations subtotal  | 3154                |             |                     |              | 3084            |
| Extant non-MOU Companies  |                     | 112         | \$2,113,115         | 52           |                 |
| Nationalised or defunct non-MOU companies (l)                             |                     | 693         | \$7,810,326         | 188          |                 |
| Non MOU   | 6341                | 805         | \$9,923,441         | 240          | 5830            |
| <b>totals</b>   |                     | <b>4542</b> | <b>\$74,954,602</b> | <b>6,715</b> |                 |

**CLAIMS/INQUIRIES WHICH DID NOT NAME A COMPANY, BUT HAVE BEEN MATCHED (j)**

|   | unnamed claims where a match was found | offers (b)   | offer \$            | declines     | <b>REMAINING UNNAMED CLAIMS</b> |
|---|--|--------------|---------------------|--------------|---------------------------------|
| Generali Group (d)  | 2013                                   | 1081         | \$20,072,216        | 932          |                                 |
| Allianz Group   | 817                                    | 343          | \$2,315,776         | 474          |                                 |
| Allianz RAS   | 138                                    | 122          | \$1,620,852         | 16           |                                 |
| AXA Colonia   | 362                                    | 174          | \$1,016,242         | 188          |                                 |
| AXA France  | 5                                      | 4            | \$13,046            | 1            |                                 |
| Winterthur  | 27                                     | 18           | \$138,321           | 9            |                                 |
| Zurich  | 22                                     | 13           | \$310,342           | 9            |                                 |
| MOU-Companies subtotal  | 3384                                   |              |                     |              |                                 |
| Sent to companies operating under the GDV/German Foundation Agreement (h) | 1146                                   |              |                     |              |                                 |
| Decisions by non ICHEIC-Member German companies (i)                       |  | 147          | \$1,256,275         | 322          |                                 |
| Decisions by the GDV on blocked account and related cases                 |  | 677          | \$4,553,202         |              |                                 |
| GDV subtotal  | 1146                                   |              |                     |              |                                 |
| Claims Resolution Tribunal (e)  | 0                                      | 0            | \$0                 | 0            |                                 |
| Sjoa Foundation (f)   | 86                                     | 28           | \$200,336           | 58           |                                 |
| Buyse Commission (g)  | 0                                      | 0            | \$0                 | 0            |                                 |
| General Settlement Fund (k)   | 0                                      | 0            | \$0                 | 0            |                                 |
| Other Organisations subtotal  | 86                                     |              |                     |              |                                 |
| <b>total</b>  |  | <b>2,607</b> | <b>\$31,496,608</b> | <b>2,009</b> |                                 |

| OFFERS ON ICHEIC CLAIMS/INQUIRIES  |  | 2 WEEKS ENDING |  | 16-Sep-05     |
|--|--|----------------|--|---------------|
|  |  |                |  | (in millions) |
| Offers paid  |  | 5,524          |  | \$86.43       |
| Total offers outstanding (not paid)  |  | 1,118          |  | \$15.22       |
| Offers outstanding which are eligible for appeals through the ICHEIC Appeals Office (*)        |  | 1,017          |  | \$13.00       |
| Offers made on claims submitted to national funds (**) and to companies not members of ICHEIC. |  | 101            |  | \$2.22        |

\* The ICHEIC Appeals Office handles appeals made to the Appeals Panel and Appeals Tribunals, as defined in (1), (2), (3) and (6) below.

\*\* Sjoa Foundation (Netherlands), Buysse Commission (Belgium) and the Claims Resolution Tribunal (Switzerland).

### ICHEIC APPEALS REPORT

| SUMMARY   |  | 2 WEEKS ENDING |                   |                      | 16-Sep-05      |
|---|--|----------------|-------------------|----------------------|----------------|
|   |  | New Appeals    | Appeals Panel (1) | Appeals Tribunal (2) | Total to Date  |
| Appeals received  |  | 22             | 405               | 895                  | 1300           |
| Appeals received on company offers (4)  |  |                | 86                | 30                   | 116            |
| Value of company offers made which are being appealed                         |  |                | \$1,052,824.55    | \$669,112.08         | \$1,721,936.63 |
| Company declines made   |  |                |                   |                      | 8364           |
| Appeals received on company declines  |  |                | 319               | 865                  | 1184           |
| Appeals dismissed   |  |                | 240               | 213                  | 453            |
| Awards made (7)   |  |                | 35                | 151                  | 186            |
| Offer accepted or appeal withdrawn after an appeal form has been received (5) |  |                | 45                | 17                   | 62             |
| Value of appeals awards   |  |                | \$335,891.34      | \$2,216,925.12       | \$2,552,816.46 |
| Appeals pending   |  |                | 85                | 514                  | 599            |

| APPEALS BY COMPANY HEARD BY THE APPEALS TRIBUNAL |                         |                   |                          |                          |   |                 |                   |             |                      |               | 16-Sep-05 |
|--|-------------------------|-------------------|--------------------------|--------------------------|---|-----------------|-------------------|-------------|----------------------|---------------|-----------|
|  | New Appeals This Period | Appeals on Offers | Value of Appealed Offers | Appeals Made on Declines | Offer Accepted or Appeal Withdrawn after Appeal Form Received | Appeals Pending | Appeals Dismissed | Awards Made | Value of Awards Made | Total Appeals |           |
| Allianz Group                                    | 1                       | 2                 | \$14,006.00              | 85                       |   | 68              | 15                | 4           | \$26,623.20          | 87            |           |
| Allianz RAS                                      |                         | 11                | \$110,145.96             | 277                      |   | 11              | 143               | 127         | \$1,961,036.98       | 288           |           |
| AXA France                                       |                         | 2                 | \$4,696.00               | 8                        |   |                 | 6                 | 2           | \$8,942.07           | 10            |           |
| AXA Colonia                                      |                         | 2                 | \$4,302.69               | 25                       |   |                 | 20                | 4           | \$46,110.68          | 27            |           |
| AXA Belgium                                      |                         |                   |                          | 2                        |   |                 | 2                 |             |                      | 2             |           |
| Generali (6)                                     | 11                      | 11                | \$531,301.58             | 429                      |   | 434             | 4                 | 2           | \$42,000.00          | 440           |           |
| Winterthur                                       |                         | 1                 | \$4,100.00               | 7                        |   |                 | 6                 | 1           | \$6,000.00           | 8             |           |
| Zurich   |                         | 1                 | \$559.85                 | 32                       |   | 1               | 17                | 11          | \$126,212.19         | 33            |           |
| Totals   | 12                      | 30                | \$669,112.08             | 865                      | 17  | 514             | 213               | 151         | \$2,216,925.12       | 895           |           |

| APPEALS BY COMPANY HEARD BY THE APPEALS PANEL |                         |                   |                          |                          |   |                 |                   |             |                      |               | 16-Sep-05 |
|---|-------------------------|-------------------|--------------------------|--------------------------|---|-----------------|-------------------|-------------|----------------------|---------------|-----------|
|   | New Appeals This Period | Appeals on Offers | Value of Appealed Offers | Appeals Made on Declines | Offer Accepted or Appeal Withdrawn after Appeal Form Received | Appeals Pending | Appeals Dismissed | Awards Made | Value of Awards Made | Total Appeals |           |
| Allianz Group                                 |                         | 29                | \$204,216.45             | 70                       | 22  | 19              | 57                | 1           | \$37,299.68          | 99            |           |
| Allianz RAS                                   | 3                       | 17                | \$453,443.19             | 79                       | 7   | 16              | 52                | 21          | \$222,354.27         | 96            |           |
| AXA Colonia                                   | 2                       | 6                 | \$34,844.64              | 15                       |   | 6               | 14                | 1           | \$4,000.00           | 21            |           |
| GDV (7)                                       |                         | 15                | \$107,458.52             | 5                        | 7   | 5               | 8                 |             |                      | 20            |           |
| GDV (8)                                       | 5                       | 19                | \$252,861.75             | 146                      | 9   | 39              | 105               | 12          | \$72,237.39          | 165           |           |
| Generali (6)                                  |                         |                   |                          |                          |   |                 |                   |             |                      |               |           |
| Winterthur                                    |                         |                   |                          | 3                        |   |                 | 3                 |             |                      | 3             |           |
| Zurich  |                         |                   |                          | 1                        |   |                 | 1                 |             |                      | 1             |           |
| Totals  | 10                      | 86                | \$1,052,824.55           | 319                      | 45  | 85              | 240               | 35          | \$335,891.34         | 405           |           |

## General Notes

ICHEIC statistics shift in relation to claims processing phenomena, including the following:

1. ICHEIC has occasionally revised its number of eligible claims downward due to the ongoing identification of claims that fall outside of ICHEIC's mission, e.g., non-Holocaust-era claims, claims which - despite efforts to obtain additional information from claimants - do not contain enough information to enable complete processing, as well as replica (duplicate) claims.
2. A number of new claims will continue to be registered as a result of ICHEIC matching exercises.
3. Claims occasionally move within the ICHEIC process due to ongoing efforts to ensure that claimants are given the best possible opportunity to have their claim(s) considered. Examples include claims originally assigned to companies or processing entities that were subsequently determined not to cover the particular portfolios or geographic regions upon which the claims were based. While some claims have been transferred to other companies participating in the ICHEIC process, others have been cycled into ICHEIC's 8A2 humanitarian claims process.

## Notes on the Claims Section

- (a) These claims have been processed and require amendments to their stage and status designation in the claims database. This figure includes claims/inquiries on Eastern European nationalised companies which will be evaluated under the ICHEIC humanitarian process as well as claims recently transferred from the Austrian General Settlement Fund.
- (b) Awards on appeals are not included herein. These figures are reported separately.
- (c) Decisions on some of the claims included here cannot be made until the GDV verifies that there was no previous compensation paid.
- (d) Between September 2001 and November 2004 the Generali Trust Fund was the implementing organisation for claims received by ICHEIC which (1) named Generali or (2) stated that the policy was bought in a country where Generali operated. The GTF rendered 3188 claims inquiries which named Generali, 1103 of which resulted in offers totalling \$28,600,986. It also matched 1445 claims inquiries which did not name a specific company of which 764 offers were made totalling \$16,328,320.
- (e) The Claims Resolution Tribunal (CRT), located in Switzerland, is responsible for processing a number of Swiss claims. ICHEIC is currently conducting a reconciliation exercise with the CRT.
- (f) The Sjoa Foundation, located in the Netherlands, processes claims on behalf of Dutch insurers.
- (g) The Buysse Commission, located in Belgium, processes claims on behalf of Belgian insurers. ICHEIC's agreement with the Buysse Commission was signed in June of 2003.
- (h) Offers made by ICHEIC Member companies on claims listed as "sent" here are reflected above as offers by the ICHEIC Member companies which are covered under ICHEIC's agreement with the German Insurance Association (GDV)/German Foundation.
- (i) Blocked account cases are paid and processed directly by the German Insurance Association (GDV). A blocked account is defined as a bank account from which the owner was constrained in withdrawing the proceeds due to the legal restrictions on the account imposed by the German National Socialist Regime and other relevant governments.
- (j) Claims that have been matched by companies against their records and/or other sources.
- (k) The General Settlement Fund, located in Austria, processes claims on behalf of Austrian insurers. The GSF is not due to make any offers on claims until all claims have been researched and decided.
- (l) For these claims no successor company could be traced and they therefore have been submitted for examination of a possible award under ICHEIC's 8A2 humanitarian claims process.

## Notes on the Appeals Section

- (1) The Appeals Panel considers appeals on decisions from German insurance companies and the German subsidiaries of non German companies as well as those against Allianz and Allianz RAS which were dated after 16 October 2002.
- (2) The Appeals Tribunal considers appeals on decisions from ICHEIC member companies AXA, Generali, Winterthur, and Zurich as well as those against Allianz and Allianz RAS which were dated prior to 16 October 2002.
- (3) Upon receipt of a final decision claimants have 120 days to file an appeal.
- (4) This excludes offers that claimants have accepted.
- (5) These totals include cases where, subsequent to submitting an appeal form, the appellant either accepts the original offer or withdraws the appeal. These totals are not included in the number of appeals dismissed nor in the number of awards made.
- (6) Between September 2001 and November 2004 appeals on Generali and GTF were considered by the Generali Trust Fund (GTF). As of 12<sup>th</sup> November 2004, the GTF has received 232 appeals. It made an award on 27 and 131 claims were dismissed. 26 Appeals were settled or withdrawn prior to the Appeals Committee hearing. Appeals received after 31<sup>st</sup> November 2004 will be heard by the ICHEIC Appeals Tribunal. The numbers in this section refer only to appeals heard by the Appeals Tribunal.
- (7) This refers to blocked account cases.
- (8) This refers to other non MOU companies in the GDV.